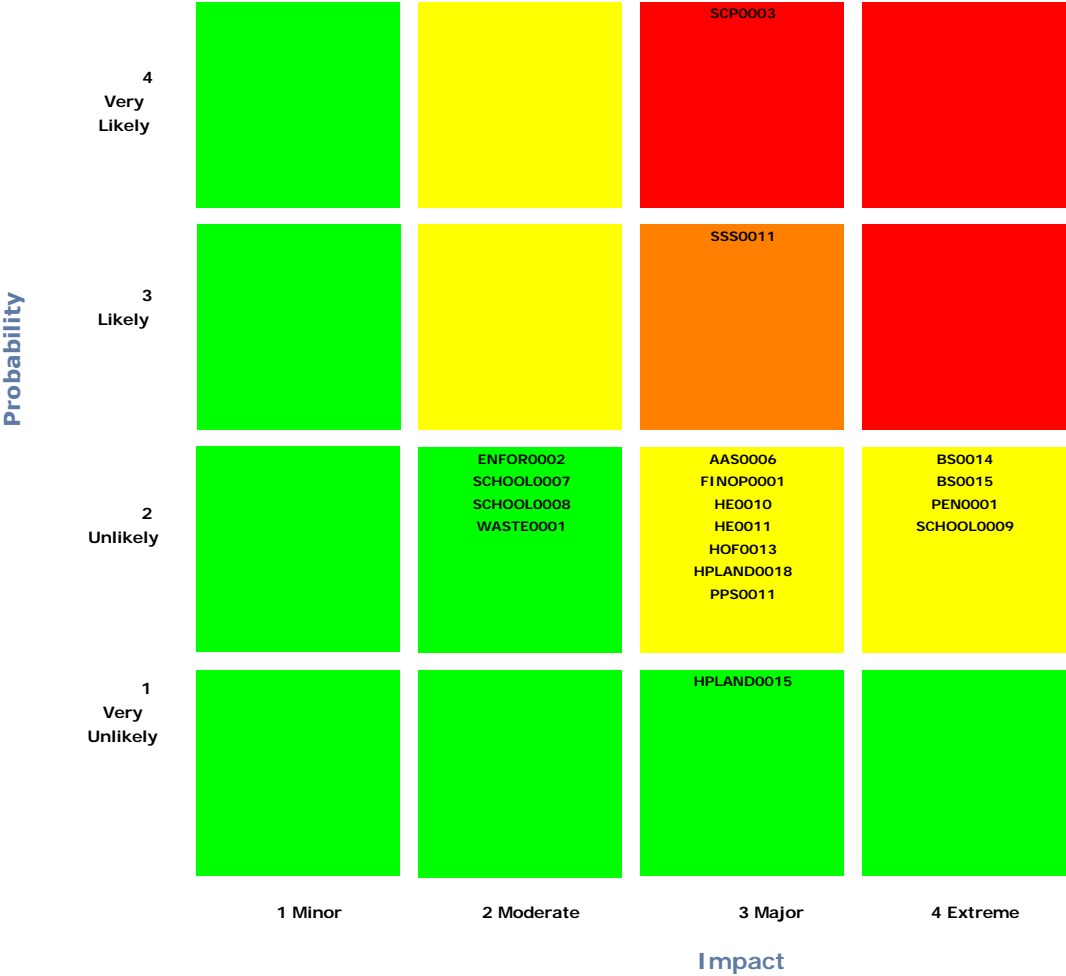


Appendix A1 -
Key operational risks summary 26 March 18



Detailed Risk Information

Current Risk Rating	Risk Ref	Summary	Assigned To
12	SCP0003	<p>There is likely to be an increase in the number of homelessness applications and mitigations must address expectations:</p> <ul style="list-style-type: none"> - Responses from charities and voluntary shelters attract more homeless people/rough sleepers into the borough generally. - The upper limit of what families can claim annually reduced from £26K to £23K in May 15. This may make some rented homes unaffordable to capped tenants. - Falling capital investment in new homes and the impact of the government's plan to extend the right to buy to 1.3M housing association tenants; - Housing associations may decide they can no longer take the chance of letting homes to larger families on benefits; - London authorities persuading people to move away from the capital. - Debts for temporary accommodation invoices may not be collected and further actions on Interest Free Loan debts can be delayed. 	Hilary Hall
9	SSS0011	Children's safeguarding could be put at risk if there is no logical child's journey or maximum caseload levels are exceeded for social workers.	Kevin McDaniel
8	BS0014	<p>Failure to comply with statutory obligations e.g. legionella policy, asbestos policy, gas, electric policies etc. leads to personal injury, damage and possible legal action.</p> <p>There is also exposure should any improvement notices not be carried out by building managers/headteachers/governing bodies.</p>	Russell O'Keefe
8	BS0015	Failure to carry out fire safety works to council properties leads to increased exposure to fire risk, enforcement notice issued on inspection and reputation damage in event of fire.	Russell O'Keefe
8	PEN0001	Insufficient resources to meet demands leading to Pension Fund having a substantial deficit.	Nick Greenwood
8	SCHOOL0009	<p>Failure to meet statutory responsibility to provide educational places for all borough residents.</p> <p>Necessity to build temporary buildings shows a failure to appropriately use capital resources.</p> <p>Current concerns:</p> <ul style="list-style-type: none"> - Insufficient secondary places from 2018 across the borough. - Accurate data that captures changes to demographic profile and totals of children in the borough. 	Kevin McDaniel
6	AAS0006	Increased number of child referrals and child specific placements after numerous high profile cases leads to increased costs in order to deal with these in a proper and professional manner.	Hilary Hall
6	FINOP0001	Write off over more than 5% of debt due to non-payment or collection failure causes reputation damage, fall in income and service reduction.	Rob Stubbs
6	HE0010	The borough has an inherent vulnerability to flooding. Localised flooding can result in disruption to residents and reputation damage to RBWM for not foreseeing the stress on capacity. Sewer flooding is a particular problem in Cookham and Ascot.	David Scott
6	HE0011	<p>Failure to manage RBWM trees could lead to their collapse leading to property damage, injury, compensation claims and criticism. There are two areas:</p> <ol style="list-style-type: none"> 1. Inadequate capacity to inspect (a) trees within parks, open spaces and cemeteries and (b) highways trees. 2. Failure to undertake the maintenance and safety works identified from inspection. 	David Scott

Detailed Risk Information

Current Risk Rating	Risk Ref	Summary	Assigned To
6	HOF0013	<p>Risk of the investment strategies not making sufficient returns leads to shortfall in expected income.</p> <p>The choice is around where on the spectrum the council wants to be between safer investments and low returns against potentially higher returns but with the threat of losing deposits from riskier investments.</p>	Rob Stubbs
6	HPLAND0018	<p>If we do not deliver sound Borough Local Plan we risk</p> <ul style="list-style-type: none"> a) Increased pressure on our ability to demonstrate we have a five year supply of land for housing. This could lead to development taking place at locations and/or in a way we would not otherwise accept or to planning by appeals; b) Stagnation and failure to provide for a range of housing needs; c) Inability to resist inappropriate development with panel decisions being overturned on appeal; d) Failure to attract S106 and CIL (from April 2015 government regs restricted the use of s106 agreements and CIL will become the principle means of collecting financial contributions from new development); e) Local infrastructure not planning to cope with or take advantage of local development possibilities as part of Maidenhead regeneration including Crossrail. f) Challenge to the BLP, major planning enquiries including the 4 joint ventures taking place in Maidenhead. <p>There is also the consideration of the timing of expenditure and receipts as part of the delivery of the various BLP elements.</p>	Russell O'Keefe
6	PPS0011	<p>Highways and streetcare services are outsourced as a client/commissioning model with VolkerHighways providing highway inspection, management and maintenance using the RBWM HMMP and HSIM. Project Centre provides highway and transport services e.g. traffic and road safety, flood risk management, street lighting and drainage.</p> <p>Failure to deliver these contracts leads to problems in maintaining the streetscene to a safe level. This leads to costs to rectify, delays in doing so, resident complaints, highways injuries/claims.</p>	Ben Smith
4	ENFOR0002	<p>Failure to meet preventative statutory obligations around community protection results in:</p> <ul style="list-style-type: none"> a. death or serious illness/injury of residents; b. public health implications from spread of notifiable disease and decimation of local/national farming economy consequent prosecution, fines, publicity. c. National government intervention. d. Reputational damage to the council. <p>Failure to meet minimum legislative requirements for 1) food, 2) health and safety, 3) housing, 4) environmental protection for contaminated land.</p>	David Scott
4	SCHOOL0007	<p>Failure to provide a service for monitoring and managing school building related risks such legionella and asbestos. Schools fail to complete appropriate health and safety compliance checks and consequent rectifications.</p>	Kevin McDaniel

Detailed Risk Information

Current Risk Rating	Risk Ref	Summary	Assigned To
4	SCHOOL0008	<p>Schools are not improving at the rate required to remain in or achieve the top quartile performance. Schools are judged as below "Good" by Ofsted.</p> <p>The schools attainment rates are insufficient to make them competitive with their peers.</p> <p>Families choose to not use borough schools because of underperformance, resulting in smaller schools with a higher probability of further performance decline.</p>	Kevin McDaniel
4	WASTE0001	Impact of failure to procure the waste collection contract in April 19 e.g. tender does not meet anticipated outcomes. Failure to collect waste leads to resident frustration.	Ben Smith
3	HPLAND0015	<p>Failure to identify and approve projects for the expenditure of S106 monies indicates lack of a clear strategy for the most resource effective use of the funding and will not bring benefits to local residents, businesses and visitors. The money may have to be repaid. It can be a challenge to get services to identify the future ongoing need for funding. Failure to identify the s106 monies will also affect the progress of the CIL.</p> <p>The council is successful at claiming monies and there's an agreed list of spending. The risk is whether we are spending appropriately in accordance with the relevant legal agreement. Whilst there has been little challenge so far there is an exposure here.</p>	Jenifer Jackson